

Before Starting the Project Application

To ensure that the Project Application is completed accurately, ALL project applicants should review the following information BEFORE beginning the application.

Things to Remember:

- Only Collaborative Applicants may apply for CoC Planning funds using this application, and only one CoC Planning application may be submitted during the FY 2023 CoC Program grant competition.
- Additional training resources can be found on the HUD.gov at https://www.hud.gov/program_offices/comm_planning/coc.
- Questions regarding the FY 2023 CoC Program Competition process must be submitted to CoCNOFO@hud.gov.
- Questions related to e-snaps functionality (e.g., password lockout, access to user's application account, updating Applicant Profile) must be submitted to e-snaps@hud.gov.
- Project applicants are required to have a Unique Entity Identifier (UEI) number and an active registration in the Central Contractor Registration (CCR)/System for Award management (SAM) in order to apply for funding under the Continuum of Care (CoC) Program Competition. For more information see the FY 2023 CoC Program Competition NOFO.
- To ensure that applications are considered for funding, applicants should read all sections of the FY 2023 CoC Program Competition NOFO.
- Detailed instructions can be found on the left menu within e-snaps and on the HUD Exchange. They contain comprehensive instructions and should be used in tandem with the navigational guides, which are also found on the HUD Exchange.
- Before completing the project application, all project applicants must complete or update (as applicable) the Project Applicant Profile in e-snaps, particularly the Authorized Representative and Alternate Representative forms as HUD uses this information to contact you if additional information is required (e.g., allowable technical deficiency).
- HUD reserves the right to reduce or reject any new or renewal project that fails to adhere to 24 CFR Part 578 and application requirements set forth in the FY 2023 CoC Program NOFO.

1A. SF-424 Application Type

1. Type of Submission:

2. Type of Application: CoC Planning Project Application

If Revision, select appropriate letter(s):

If "Other", specify:

3. Date Received: 09/05/2023

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier

6. Date Received by State:

7. State Application Identifier:

1B. SF-424 Legal Applicant

8. Applicant

a. Legal Name: Westchester County Dept. of Community Mental Health

b. Employer/Taxpayer Identification Number (EIN/TIN): 13-6007353

c. Unique Entity Identifier: GFB7MQFAU6F2

d. Address

Street 1: 112 East Post Road, 2nd Floor

Street 2:

City: White Plains

County: Westchester

State: New York

Country: United States

Zip / Postal Code: 10601

e. Organizational Unit (optional)

Department Name: Community Mental Health

Division Name:

f. Name and contact information of person to be contacted on matters involving this application

Prefix: Ms.

First Name: Annette

Middle Name:

Last Name: Peters-Ruvolo

Suffix: LCSW

Title: Program Director - Community Support Services

Organizational Affiliation: Westchester County Dept. of Community Mental Health

Telephone Number: (914) 995-5278

Extension:

Fax Number: (914) 995-6220

Email: apr5@westchestergov.com

1C. SF-424 Application Details

9. Type of Applicant: B. County Government

10. Name of Federal Agency: Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Title: CoC Program

CFDA Number: 14.267

12. Funding Opportunity Number: FR-6700-N-25

Title: Continuum of Care Homeless Assistance
Competition

13. Competition Identification Number:

Title:

1D. SF-424 Congressional District(s)

14. Area(s) affected by the project (state(s) only): New York
(for multiple selections hold CTRL+Key)

15. Descriptive Title of Applicant's Project: Westchester CoC Planning Project

16. Congressional District(s):

a. Applicant: NY-016, NY-017

b. Project: NY-016

(for multiple selections hold CTRL+Key)

17. Proposed Project

a. Start Date: 06/01/2023

b. End Date: 05/31/2024

18. Estimated Funding (\$)

a. Federal:

b. Applicant:

c. State:

d. Local:

e. Other:

f. Program Income:

g. Total:

1E. SF-424 Compliance

19. Is the Application Subject to Review By State Executive Order 12372 Process? b. Program is subject to E.O. 12372 but has not been selected by the State for review.

If "YES", enter the date this application was made available to the State for review:

20. Is the Applicant delinquent on any Federal debt? No

If "YES," provide an explanation:

1F. SF-424 Declaration

By signing and submitting this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

I AGREE:

21. Authorized Representative

Prefix: Mr.

First Name: Michael

Middle Name:

Last Name: Orth

Suffix:

Title: Commissioner

Telephone Number: (914) 995-5225
(Format: 123-456-7890)

Fax Number: (914) 995-5254
(Format: 123-456-7890)

Email: mmo6@westchestergov.com

Signature of Authorized Representative: Considered signed upon submission in e-snaps.

Date Signed: 09/05/2023

1G. HUD 2880

Applicant/Recipient Disclosure/Update Report - form HUD-2880
U.S. Department of Housing and Urban Development
OMB Approval No. 2506-0214 (exp.1/31/2026)

Applicant/Recipient Information

1. Applicant/Recipient Name, Address, and Phone

Agency Legal Name: Westchester County Dept. of Community Mental Health

Prefix: Mr.

First Name: Michael

Middle Name:

Last Name: Orth

Suffix:

Title: Commissioner

Organizational Affiliation: Westchester County Dept. of Community Mental Health

Telephone Number: (914) 995-5225

Extension:

Email: mmo6@westchestergov.com

City: White Plains

County: Westchester

State: New York

Country: United States

Zip/Postal Code: 10601

2. Employer ID Number (EIN): 13-6007353

3. HUD Program: Continuum of Care Program

4. Amount of HUD Assistance Requested/Received: \$500,000.00

(Requested amounts will be automatically entered within applications)

5. State the name and location (street address, City and State) of the project or activity.

Refer to project name, addresses and CoC Project Identifying Number (PIN) entered into the attached project application.

Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity? Yes
 (For further information, see 24 CFR Sec. 4.3).

2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9. Yes

Part II Other Government Assistance Provided or Requested/Expected Sources and Use of Funds

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/Local Agency Name and Address	Type of Assistance	Amount Requested / Provided	Expected Uses of the Funds
New York State Office of Mental Health 44 Holland Avenue Albany, New York 12229	grant	\$732,031.00	Case Management and Planning staff
New York State Office of Alcoholism and Substance Abuse Services – OASAS 1450 Western Avenue, Albany, NY 12203	grant	\$48,946.00	Case Management staff
Westchester County 112 East Post Road, White Plains NY 10601	grant	\$224,680.00	Case Management and Planning staff
U.S. Department of Veterans Affairs 2090 Albany Post Road Montrose NY 10548	In-kind assistance	\$84,227.00	Supportive services
NYS Office of Temporary and Disability Assistance 40 North Pearl Street, Albany, NY 12243	grant	\$22,330.00	Supportive services staff

Note: If additional sources of Government Assistance, please use the "Other Attachments" screen of the project applicant profile.

Part III Interested Parties

Do you need to disclose interested parties for this grant according to the criteria below? Yes

You must disclose:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity.

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	UNIQUE Entity ID	Type of Participation	Financial Interest in Project/Activity (\$)	Financial Interest in Project/Activity (%)
Human Development Services of Westchester	TB5MLCT1Z3L6	Permanent Supportive Housing provider	\$3,586,793.00	33%
Lexington Center for Recovery	HFTMV2LKZYA9	Permanent Supportive Housing provider	\$1,645,086.00	15%
Lifting Up Westchester	YCKEUTJP9B25	Permanent Supportive Housing provider	\$1,058,186.00	10%
Mental Health Association of Westchester	HS5BZ6RY2F87	Permanent Supportive Housing provider	\$1,439,848.00	13%
see Other Attachments page for additional agencies				

2. Any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	City of Residence	Type of Participation	Financial Interest in Project/Activity (\$)	Financial Interest in Project/Activity (%)
NA	NA	NA	\$0.00	0%

Note: If additional sources of Government Assistance, please use the "Other Attachments" screen of the project applicant profile.

Note: If there are no other people included, write NA in the boxes.

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I/We, the undersigned, certify under penalty of perjury that the information provided above is true, correct, and accurate. Warning: If you knowingly make a false statement on this form, you may be subject to criminal and/or civil penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional nondisclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

X

Name / Title of Authorized Official: Michael Orth, Commissioner

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 09/05/2023

1H. HUD 50070

HUD 50070 Certification for a Drug Free Workplace

Applicant Name: Westchester County Dept. of Community Mental Health

Program/Activity Receiving Federal Grant Funding: CoC Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:	
<p>a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.</p>	<p>e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;</p>
<p>b. Establishing an on-going drug-free awareness program to inform employees — (1) The dangers of drug abuse in the workplace (2) The Applicant's policy of maintaining a drug-free workplace; (3) Any available drug counseling, rehabilitation, and employee assistance programs; and (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.</p>	<p>f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted — (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;</p>
<p>c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;</p>	<p>g. Making a good faith effort to continue to maintain a drugfree workplace through implementation of paragraphs a. thru f.</p>
<p>d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will — (1) Abide by the terms of the statement; and (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;</p>	

Sites for Work Performance.

The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)
 Workplaces, including addresses, entered in the attached project application.
 Refer to addresses entered into the attached project application.

I certify that the information provided on this form and in any accompanying documentation is true and accurate. I acknowledge that making, presenting, submitting, or causing to be submitted a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

X

WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties. (18 U.S.C. §§ 287, 1001, 1010, 1012; 31 U.S.C. §3729, 3802)

Authorized Representative

Prefix: Mr.

First Name: Michael

Middle Name

Last Name: Orth

Suffix:

Title: Commissioner

Telephone Number: (914) 995-5225
(Format: 123-456-7890)

Fax Number: (914) 995-5254
(Format: 123-456-7890)

Email: mmo6@westchestergov.com

Signature of Authorized Representative: Considered signed upon submission in e-snaps.

Date Signed: 09/05/2023

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate:

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Applicant's Organization: Westchester County Dept. of Community Mental Health

Name / Title of Authorized Official: Michael Orth, Commissioner

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 09/05/2023

1J. SF-LLL

DISCLOSURE OF LOBBYING ACTIVITIES Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352. Approved by OMB0348-0046

HUD requires a new SF-LLL submitted with each annual CoC competition and completing this screen fulfills this requirement.

Answer "Yes" if your organization is engaged in lobbying associated with the CoC Program and answer the questions as they appear next on this screen. The requirement related to lobbying as explained in the SF-LLL instructions states: "The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action."

Answer "No" if your organization is NOT engaged in lobbying.

Does the recipient or subrecipient of this CoC grant participate in federal lobbying activities (lobbying a federal administration or congress) in connection with the CoC Program? No

Legal Name: Westchester County Dept. of Community Mental Health

Street 1: 112 East Post Road, 2nd Floor

Street 2:

City: White Plains

County: Westchester

State: New York

Country: United States

Zip / Postal Code: 10601

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I certify that this information is true and complete.

Authorized Representative

Prefix: Mr.

First Name: Michael

Middle Name:

Last Name: Orth

Suffix:

Title: Commissioner

Telephone Number: (914) 995-5225
(Format: 123-456-7890)

Fax Number: (914) 995-5254
(Format: 123-456-7890)

Email: mmo6@westchestergov.com

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 09/05/2023

IK. SF-424B

(SF-424B) ASSURANCES - NON-CONSTRUCTION PROGRAMS

OMB Number: 4040-0007
Expiration Date: 02/28/2022

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- | | |
|-----------|---|
| 1. | Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application. |
| 2. | Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives. |
| 3. | Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain. |
| 4. | Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency. |
| 5. | Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F). |
| 6. | Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism, (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application. |
| 7. | Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases. |
| 8. | Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds. |

- 9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327~333), regarding labor standards for federally-assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93~205).
- 12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- 16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- 18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

As the duly authorized representative of the applicant, I certify:

Authorized Representative for: Westchester County Dept. of Community Mental Health

Prefix: Mr.

First Name: Michael

Middle Name:

Last Name: Orth

Suffix:

Title: Commissioner

Signature of Authorized Certifying Official: Considered signed upon submission in e-snaps.

Date Signed: 09/05/2023

2A. Project Detail

1. **CoC Number and Name:** NY-604 - Yonkers, Mount Vernon/Westchester County CoC
2. **Collaborative Applicant Name:** Westchester County Dept. of Community Mental Health
3. **Project Name:** Westchester CoC Planning Project
4. **Component Type:** CoC Planning Project Application

2B. Project Description

1. Provide a description that addresses the entire scope of the proposed project and how the Collaborative Applicant will use grant funds to comply with 24 CFR 578.7:

CoC Planning Project funds will be used for six components:

1. Coordination Activities: Coordination of CoC activities and events including scheduling and facilitation of CoC Board meetings, subcommittees and events including Meeting on Priorities, CE stakeholders meeting, & Annual Membership Meeting; trainings for Coordinated Entry, Equal Access rule, Mainstream Benefits, and DV services; as well as other CoC work groups, training events or symposia. Funds may be used for event costs such as speakers' fees or materials for training sessions, and for conducting surveys or research pertaining to the effectiveness of events.

Coordinate agencies involved in the Coordinated Entry system & plan improvements to Coordinated Entry; coordinate CoC implementation of evolving HUD requirements; and coordinate with ESG and Con Plan jurisdictions.

Coordinate with NYS Emergency Housing Voucher (EHV) project & local PHA Housing Choice Vouchers with a preference for homeless households.

Our Lived Experience Advisory Group will provide input from homeless and formerly homeless persons. Planning staff will promote linkages with Head Start, Healthy Start, & the Child Care Council. Our Anti-Racism Evaluation & Advisory Committee will examine how people of different races/ ethnicities are less likely to receive homeless assistance or positive outcomes in our CoC, and identify steps needed to lower barriers to racial equity.

2. Project Evaluation: Evaluate CoC processes and outcomes at the project and system-wide levels and conduct an annual gaps analysis of the homeless needs and services available within our CoC; conduct an annual Racial Equity Analysis of the services offered to homeless persons within our CoC; prepare SysPM for submission to HUD; other data analyses using data extracted from HMIS & other sources to provide information to the CoC Board for decision making.

3. Project Monitoring Activities: Conducting site visits, reviewing Annual Performance Reports and HMIS data quality, and reviewing the performance of all CoC recipients, CoC sub-recipients and ESG recipients.

4. Developing a CoC System: Activities to enhance linkages, systems integration, resources, community support, sustainability & outcomes, including identifying community partners, facilitating meetings between CoC Board members/staff and relevant stakeholders, including those with lived experience. Scheduling meetings, copying meeting materials, arranging meeting refreshments, developing & distributing marketing materials and incentives for community and consumer participation in grantee-specific and CoC-wide meetings (bus passes, childcare reimbursement and/or stipends). Maintain and improve the CoC website design and content.

5. HUD Compliance Activities: Implementation of the annual Point-In-Time Count including the development of a robust PIT Count strategy; including year-round planning; recruitment of volunteers; development of enumerators; collaboration with law enforcement, shelter and outreach staff to identify where the homeless can be found; establishing relationships with community partners interested in donating time or goods for the PIT count; training and coordinating staff, enumerators and volunteers prior to and on the night of the count; client interview incentives for engagement; follow up interventions; aggregating data after the count for reporting to HUD and the CoC Board.

6. CoC Application: Preparing and submitting the CoC Application to HUD.

2. Describe the estimated schedule for the proposed activities, the management plan, and the method for assuring effective and timely completion of all work.

Our proposed Coordination, Project Monitoring, and CoC System Development Activities are ongoing activities that will take place each month in Months 1-12. This includes coordination and monitoring of the five recipients of CoC Program funding, all CoC Program sub-recipients, ESG grantees, and other partner agencies that serve the homeless in Westchester County. These activities will be monitored by the County-appointed CoC co-chair.

Our Project Evaluation activities will take place in Months 1-12 with a final Gaps Analysis, SysPM, Racial Equity Analysis and other reports prepared and distributed to the Board of the Westchester CoC by the end of Month 12. The evaluation process will be overseen by the Data and Systems subcommittee of our Board.

This workgroup will include representation from the Westchester County Departments of Social Services and Community Mental Health, and is chaired by our HMIS Administrator.

Our Point- In-Time Count planning process will take place during the 9 months leading up to the HUD-mandated PIT Count and for 3 months afterward. Our planning and implementation process will be guided and managed by an ad-hoc workgroup of the CoC Board. This workgroup will include representation from the Westchester County Departments of Social Services, Community Mental Health, and at least four homeless outreach programs representing our four largest cities: Yonkers, New Rochelle, Mount Vernon, and White Plains.

CoC Application activities take place in the period between HUD release of the CoC NOFO and the CoC Application due date.

The two co-chairs of our CoC Board will be responsible for overseeing and managing the process so that each of the program components are implemented successfully and in a timely manner. Progress will be updated monthly at CoC Board meetings.

3. How will the requested funds improve or maintain the CoC's ability to evaluate the outcome of CoC and ESG projects?

The Project Monitoring activities will continue to improve the CoC's ability to better evaluate the outcomes of both CoC Program-funded and ESG-funded projects. Staff funded by the Planning Grant will continue to conduct monitoring audits of every one of our CoC-funded direct grantee projects in Westchester County and to all ESG recipients with active projects. The CoC will continue to follow up with provider agencies to ensure that any deficiencies noted during the monitoring visits are resolved, including conducting repeat visits and providing technical assistance when necessary to ensure compliance.

The CoC System Development funding will enable us to engage new partners, expand our linkages and collaborations, enhance integration of multiple systems, leverage more resources, improve our effectiveness, and build community support, thus enhancing sustainability. It will help us expand our efforts to bring more consumers of services into our planning and evaluation process, which will help us achieve our goal of having an open and inclusive process that gives a substantial voice to people experiencing homelessness. The additional staff resources requested will also enable us to improve coordination with ESG recipients, and to develop better partnerships for ESG Project (including ESG-CV) allocation and evaluation.

The HUD Compliance component will allow us to collect more thorough and comprehensive needs data on unsheltered homeless people scattered throughout Westchester County's 450 square miles and 43 cities, towns and villages. In recent years, Planning Grant funds have allowed us to coordinate larger and more numerous outreach teams, pairing outreach workers with law enforcement to canvass broader areas. Funds have enabled us to devote time to cultivate partnerships with volunteer agencies and community service organizations so that those found are not merely counted, but also provided with something to eat, clothes and resource information. We also coordinate the information gathered during the count to make attempts to reach out through outreach programs. Funding has been used for staffing to help us develop a solid training curriculum to ensure that enumerators are properly and professionally trained. We have also been able to staff an "operations center" during the count which has made it more effective and efficient in maintaining contact with enumerators, providing a touch down space for teams and ensuring that all information gets collected in a centralized location at the end of the count. The funding requested in this application will enable us to continue the strides we have made in expanding our outreach teams and to execute a much more extensive and thorough effort to find, engage and collect data from unsheltered people throughout Westchester.

3A. Governance and Operations

1. How often does the CoC conduct meetings of the full CoC membership? Semi-Annually

2. Does the CoC include membership of a homeless or formerly homeless person? Yes

2a. For members who are homeless or formerly homeless, what role do they play in the CoC membership? (Select all that apply)

Participates in CoC meetings:	<input checked="" type="checkbox"/>
Votes, including electing Coc Board:	<input checked="" type="checkbox"/>
Sits on CoC Board:	<input checked="" type="checkbox"/>
None:	<input type="checkbox"/>

3. Does the CoC's governance charter incorporate written policies and procedures for each of the following

3a. Written agendas of CoC meetings? Yes

3b. Coordinated Entry? (Also known as centralized or coordinated assessment) Yes

3c. Process for monitoring outcomes of ESG recipients? Yes

3d. CoC policies and procedures? Yes

3e. Written process for board selection? Yes

3f. Code of Conduct for board members that includes a recusal process? Yes

3g. Written standards for administering assistance? Yes

4. Were there any written complaints received by the CoC in relation to project review, project selection, or other items related to 24 CFR 578.7 or 578.9 within the past 12 months? No



3B. Committees

Provide information for up to five of the most active CoC-wide planning committees, subcommittees and/or workgroups, to address homeless needs in the CoC’s geographic area that recommend and set policy priorities for the CoC, including a brief description of the role and the frequency of the meetings. Only include committees, subcommittees and/or workgroups, that are directly involved in CoC-wide planning and not the regular delivery of services.

Name of Group	Role of the Group (max 750 characters)	Meeting Frequency	Name of Individuals and/or Organizations Represented
Data & Systems Committee	The Data & Systems Committee oversees the implementation and continuous improvement of HMIS and Coordinated Entry within our CoC. The committee works to maintain HMIS Data Quality and robust training for HMIS users; since the start of the Coordinated Entry System in December 2017, the Committee monitors the effectiveness of the CE system, adherence to established policies and to developing system design improvements that will increase responsiveness to consumer needs & positive outcomes. There are two levels of these meetings; a Stakeholder’s meeting for shelter and housing providers and a Steering meeting for the CoC Planning team, HMIS Administrator and CoC Co-Chairs.	Monthly	WC DCMH, WC DSS, Program Design & Development, Westhab, Hope’s Door, WestHELP, HMIS Administrator Daniel Gore, CHHOP
Patriot Housing	The role of Patriot Housing is to coordinate services and housing resources to house homeless veterans. By working together to eliminate barriers to housing, we ensure that we are doing all we can to prevent chronic homelessness among veterans and maintaining a 90-day or less threshold. To date, this initiative has housed over 750 veterans since August 2013.	Weekly	PD&D, WC DCMH, WC DSS, CVR, Westhab, WestCOP, VA Montrose, Lu Caldara (veteran’s board), WC Veterans Services Agency, CHOICE, Lifting Up Westchester, YWCA, CHHOP, VOA
CERC Meetings	Coordinated Entry Referral Conferences (CERC) transition homeless individuals to suitable housing as quickly as possible, using Coordinated Entry and facilitating countywide networking between outreach workers, shelter staff & housing providers. CERC participants use a by-name list of eligible persons experiencing homelessness with the highest needs and length of time homeless to transition each individual from homelessness to permanent housing. CERC meetings are Co-chaired by our Coordinated Entry Administrator. We have a CERC which discusses placements into PSH (meets twice a month), a CERC which discusses placements into RRH and TH (meets once a month), and a CERC which discusses placements for victims of Domestic Violence.	Weekly	WC DSS, Program Design and Development, WC DCMH, Westhab, Lifting Up Westchester, FSSY, CHHOP, HMIS Administrator Daniel Gore, WestCOP, Yonkers YWCA, VOA, Hope’s Door, My Sister’s Place, WC Office for Women

<p>Housing Success Workgroup</p>	<p>Working in a Housing First milieu can be challenging when housing providers must balance the needs of the individual residents with the (sometimes) fragile relationships they develop with landlords. The focus of the Housing Success Workgroup is to provide a forum for providers to discuss situations and receive support and guidance from MH and SA professionals, their peers, as well as CoC Board members on ways to alleviate these situations and retain the client in housing. Issues can be individual or system-wide in nature. Patterns identified are presented regularly to the CoC Board for review and intervention as appropriate.</p>	<p>Monthly</p>	<p>PD&D, WC DCMH, WC DSS, LUW, HDSW, MHAW, LCR, TGC, FSSY, CHHOP, CHI, Westhab, CVR</p>
<p>Landlord Relations Committee</p>	<p>The LRC helps housing providers engage and maintain relationships with landlords to increase and fortify our housing stock. The committee gives landlords a forum to provide feedback to housing providers and the CoC. The committee encourages information sharing, communication and networking. The LRC also provides ideas and feedback to the CoC Board, including ways to incentivize participation in CoC projects and recognize excellent landlords.</p>	<p>Bi-Monthly</p>	<p>TGC, CHHOP, WRO, Westhab, WC DCMH, WC DSS, HOPE Community Services, HDSW, FSSY, VA Montrose, MHAW, Westchester Veterans Board, LCR, Municipal Housing Authority - City of Yonkers, LUW, WestCOP</p>

4A. Sources of Match

The following list summarizes the funds that will be used as Match for the project. To add a Matching source to the list, select the  icon. To view or update a Matching source already listed, select the  icon.

Summary for Match

Total Value of Cash Commitments:	\$125,000
Total Value of In-Kind Commitments:	\$0
Total Value of All Commitments:	\$125,000

1. Will this project generate program income described in 24 CFR 578.97 to use as Match for this project? No

Type	Source	Contributor	Value of Commitments
Cash	Government	NYS Office of Men...	\$20,000
Cash	Government	Westchester Count...	\$105,000

Sources of Match Details

- 1. **Type of commitment:** Cash
- 2. **Source:** Government
- 3. **Name of source:** NYS Office of Mental Health & WC DCMH
(Be as specific as possible and include the office or grant program as applicable)
- 4. **Value of Written Commitment:** \$20,000

Sources of Match Details

- 1. **Type of commitment:** Cash
- 2. **Source:** Government
- 3. **Name of source:** Westchester County DSS direct-funded Planning staff
(Be as specific as possible and include the office or grant program as applicable)
- 4. **Value of Written Commitment:** \$105,000

4B. Funding Request

1. Will it be feasible for the project to be under grant agreement by September 30, 2025? Yes

2. Does this project propose to allocate funds according to an indirect cost rate? No

3. Select a grant term: 1 Year

A description must be entered for Quantity. Any costs without a Quantity description will be removed from the budget.

Eligible Costs:	Quantity AND Description (max 400 characters)	Annual Assistance Requested (Applicant)
1. Coordination Activities	CoC requests \$240,000 (1.41 FTE + associated OTPS) to be used for coordination of CoC activities and events including the scheduling and tracking of CoC Board meetings, sub-recipient meetings, general CoC membership meetings, as well as coordination of and participation in other CoC-wide subcommittees, work groups, training events or symposia. Funds may also be used to cover related training event costs such as speakers' fees, honoraria, or materials for training sessions. Activities also include conducting surveys or research pertaining to the effectiveness of such events. Coordinate agencies involved in the Coordinated Entry system & plan improvements to Coordinated Entry; coordinate CoC implementation of evolving HUD requirements; and coordinate with ESG and Con Plan jurisdictions. We will also use these funds to hire interns/LEAG members with lived experience of homelessness and coordinate their activities.	\$240,000
2. Project Evaluation	CoC requests \$50,000 (0.29 FTE + associated OTPS) to be used to evaluate CoC processes and outcomes at the project and/or system-wide level and to conduct an annual gaps analysis of the homeless needs and services available within our CoC; conduct an annual Racial Equity Analysis of the services offered to homeless persons within our CoC; prepare SysPM for submission to HUD; other data analyses using data extracted from HMIS & other sources to provide information to the CoC Board for decision making.	\$50,000
3. Project Monitoring Activities	CoC requests \$20,000 (0.12 FTE + associated OTPS) to be used for monitoring activities such as conducting site visits, reviewing Annual Performance Reports (APRs) and HMIS data quality, and reviewing the program performance of each of our CoC recipients, CoC sub-recipients and ESG recipients.	\$20,000
4. Participation in the Consolidated Plan		
5. CoC Application Activities	CoC requests \$20,000 (0.12 FTE + associated OTPS) to be used for activities involved in submitting the CoC Application to HUD.	\$20,000
6. Determining Geographical Area to Be Served by the CoC		
7. Developing a CoC System	CoC requests \$130,000 (0.77 FTE + associated OTPS) to be used to support activities to increase/enhance partners, linkages, systems integration, resources, community support, sustainability & outcomes, including identifying community partners, attending events and facilitating meetings between CoC Board members/staff and relevant stakeholders. Administrative tasks such as scheduling events and meetings, copying meeting materials, arranging for meeting refreshments, honoraria, developing & distributing marketing materials and incentives for community and consumer participation in grantee-specific and countywide CoC meetings (bus passes, childcare reimbursement and/or stipends). Maintain and improve the CoC website design and content.	\$130,000

<p>8. HUD Compliance Activities</p>	<p>CoC requests \$15,000 (0.09 FTE + associated OTPS) plus \$21,000 in stipends for enumerator teams and \$4,000 in consumer incentives to be used for the implementation of the annual Point-In-Time Count including the development of a robust PIT Count strategy. This strategy includes nearly year-round planning and recruitment of volunteers; development of team leaders; collaboration with law enforcement, shelter and outreach staff to identify where the homeless can be found; establishing relationships with community partners interested in donating time or goods on the night of the count; training and coordinating staff, team leaders and volunteers prior to and on the night of the count; client interview incentives for engagement purposes; and collecting all necessary materials after the count to report to HUD and the CoC Board, including follow up interventions for those identified.</p>	<p>\$40,000</p>
<p>Total Costs Requested</p>		<p>\$500,000</p>
<p>Cash Match</p>		<p>\$125,000</p>
<p>In-Kind Match</p>		<p>\$0</p>
<p>Total Match</p>		<p>\$125,000</p>
<p>Total Budget</p>		<p>\$625,000</p>

Click the 'Save' button to automatically calculate the Total Assistance

5A. Attachment(s)

Document Type	Required?	Document Description	Date Attached
1. Other Attachment(s)	No		
2. Other Attachment(s)	No		

Attachment Details

Document Description:

Attachment Details

Document Description:

5B. Certification

**Applicant and Recipient Assurances and Certifications - form HUD-424B (Title)
U.S. Department of Housing and Urban Development OMB Approval No.
2501-0017
(expires 01/31/2026)**

As part of your application for HUD funding, you, as the official authorized to sign on behalf of your organization or as an individual must provide the following assurances and certifications. The Responsible Civil Rights Official has specified this form for use for purposes of general compliance with 24 CFR §§ 1.5, 3.115, 8.50, and 146.25, as applicable. The Responsible Civil Rights Official may require specific civil rights assurances to be furnished consistent with those authorities and will specify the form on which such assurances must be made. A failure to furnish or comply with the civil rights assurances contained in this form may result in the procedures to effect compliance at 24 CFR §§ 1.8, 3.115, 8.57, or 146.39. By submitting this form, you are stating that to the best of your knowledge and belief, all assertions are true and correct.

1. Has the legal authority to apply for Federal assistance, has the institutional, managerial and financial capability (including funds to pay the non-Federal share of program costs) to plan, manage and complete the program as described in the application and the governing body has duly authorized the submission of the application, including these assurances and certifications, and authorized me as the official representative of the application to act in connection with the application and to provide any additional information as may be required.

2. Will administer the grant in compliance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d)) and implementing regulations (24 CFR part 1), which provide that no person in the United States shall, on the grounds of race, color or national origin, be excluded from participation in, be denied the benefits of, or otherwise be subject to discrimination under any program or activity that receives Federal financial assistance OR if the applicant is a Federally recognized Indian tribe or its tribally designated housing entity, is subject to the Indian Civil Rights Act (25 U.S.C. 1301-1303).

3. Will administer the grant in compliance with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), as amended, and implementing regulations at 24 CFR part 8, the American Disabilities Act (42 U.S.C. §§ 12101 et.seq.), and implementing regulations at 28 CFR part 35 or 36, as applicable, and the Age Discrimination Act of 1975 (42 U.S.C. 6101-07) as amended, and implementing regulations at 24 CFR part 146 which together provide that no person in the United States shall, on the grounds of disability or age, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any program or activity that receives Federal financial assistance; except if the grant program authorizes or limits participation to designated populations, then the applicant will comply with the nondiscrimination requirements within the designated population.

4. Will comply with the Fair Housing Act (42 U.S.C. 3601-19), as amended, and the implementing regulations at 24 CFR part 100, which prohibit discrimination in housing on the basis of race, color, religion sex (including gender identity and sexual orientation), disability, familial status, or national origin and will affirmatively further fair housing; except an applicant which is an Indian tribe or its instrumentality which is excluded by statute from coverage does not make this certification; and further except if the grant program authorizes or limits participation to designated populations, then the applicant will comply with the nondiscrimination requirements within the designated population.

5. Will comply with all applicable Federal nondiscrimination requirements, including those listed at 24 CFR §§ 5.105(a) and 5.106 as applicable.

6. Will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601) and implementing regulations at 49 CFR part 24 and, as applicable, Section 104(d) of the Housing and Community Development Act of 1974 (42 U.S.C. 5304(d)) and implementing regulations at 24 CFR part 42, subpart A.

7. Will comply with the environmental requirements of the National Environmental Policy Act (42 U.S.C. 4321 et.seq.) and related Federal authorities prior to the commitment or expenditure of funds for property.

8. That no Federal appropriated funds have been paid, or will be paid, by or on behalf of the applicant, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress, in connection with the awarding of this Federal grant or its extension, renewal, amendment or modification. If funds other than Federal appropriated funds have or will be paid for influencing or attempting to influence the persons listed above, I shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying. I certify that I shall require all subawards at all tiers (including sub-grants and contracts) to similarly certify and disclose accordingly. Federally recognized Indian Tribes and tribally designated housing entities (TDHEs) established by Federally-recognized Indian tribes as a result of the exercise of the tribe's sovereign power are excluded from coverage by the Byrd Amendment, but State-recognized Indian tribes and TDHs established under State law are not excluded from the statute's coverage.

Name of Authorized Certifying Official: Michael Orth

Date: 09/05/2023

Title: Commissioner

Applicant Organization: Westchester County Dept. of Community Mental Health

PHA Number (For PHA Applicants Only):

I/We, the undersigned, certify under penalty of perjury that the information provided above is true and correct. **WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties.(18 U.S.C. §§287, 1001, 1010, 1012, 1014; 31 U.S.C. §3729, 3802).**

X

6A. Submission Summary

Page	Last Updated
1A. SF-424 Application Type	No Input Required
1B. SF-424 Legal Applicant	09/05/2023
1C. SF-424 Application Details	No Input Required
1D. SF-424 Congressional District(s)	09/05/2023
1E. SF-424 Compliance	09/05/2023
1F. SF-424 Declaration	09/05/2023
1G. HUD 2880	09/05/2023
1H. HUD 50070	09/05/2023
1I. Cert. Lobbying	09/05/2023
1J. SF-LLL	09/05/2023

IK. SF-424B	09/05/2023
2A. Project Detail	09/05/2023
2B. Description	09/05/2023
3A. Governance and Operations	09/05/2023
3B. Committees	09/05/2023
4A. Match	09/05/2023
4B. Funding Request	09/05/2023
5A. Attachment(s)	No Input Required
5B. Certification	09/05/2023